# Gherardelli linkage and complete intersections

## DAVIDE FRANCO

Dipartimento di Mathematica, Università di Ferrara via Machiavelli 35, 44100 Ferrara, Italy E-mail: frv@dns.unife.it

## STEVEN L. KLEIMAN

Department of Mathematics, Room 2-278 MIT, 77 Mass Ave, Cambridge, MA 02139-4307, USA E-mail: Kleiman@math.mit.edu

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## ALEXANDRU T. LASCU

Dipartimento di Mathematica, Università di Ferrara via Machiavelli 35, 44100 Ferrara, Italy E-mail: lsl@dns.unife.it

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ABSTRACT. Our main theorem characterizes the complete intersections of codimension 2 in a projective space of dimension 3 or more over an algebraically closed field of characteristic 0 as the subcanonical and self-linked subschemes. In order to prove this theorem, we'll prove the Gherardelli linkage theorem, which asserts that a partial intersection of two hypersurfaces is subcanonical if and only if its residual intersection is, scheme-theoretically, the intersection of the two hypersurfaces with a third.

## 1. Introduction

Our main result is Theorem (3.2). It characterizes the complete intersections of codimension 2 in  $\mathbf{P}^n$  where  $n \geq 3$ , over an algebraically closed field of characteristic 0, among the Cohen–Macaulay X as those that are subcanonical and self-linked. This characterization was formulated by Ellia (pvt. comm.), who proved it in a joint work with Beorchia [3, Thm. 5, p. 556] assuming X is smooth. In Remark 6.1 on p. 557, Beorchia and Ellia said they don't know whether the smoothness "can be avoided." It can! Furthermore, X can be reducible and nonreduced.

More precisely, an X is said to be a-subcanonical if its dualizing sheaf  $\omega_X$  is of the form  $\omega_X = \mathcal{O}_X(a)$ . An X is said to be self-linked by two hypersurfaces  $F_1$  and  $F_2$  if X is equal to its own residual scheme in the complete intersection of  $F_1$  and  $F_2$ . For example, suppose X is the complete intersection of  $F_1$  and  $F_3$ . Then X is self-linked by  $F_1$  and  $F_2$  where  $F_2 := 2F_3$  or where  $F_2$  is, more generally, any hypersurface such that  $F_1 \cap F_2 = F_1 \cap 2F_3$ . Furthermore, X is a-subcanonical where a is the following integer: denote the degree of  $F_i$  by  $m_i$ ; then  $a := m_1 + m_3 - n - 1$ . Now, Theorem (3.2) says that this is, in fact, the only example!

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This second formulation of Theorem (3.2) is more refined than the first. After all, the first says nothing much about the hypersurfaces  $F_i$  involved. In particular, the first does not suggest anything like the equation  $m_2 = 2m_3$ . Indeed, in Corollary 4 on p. 557, Beorchia and Ellia offered an alternative proof of the first formulation in the case where X is a curve and  $m_3 \ge m_2 \ge m_1$ . The proof is correct, but the case is vacuous!

Our proof of Theorem (3.2) follows, to a fair extent, the lines of Beorchia and Ellia's proof of their Theorem 5. In both proofs, a key step is to split the normal bundle of X in  $\mathbf{P}^n$ . At this stage, if  $n \geq 4$  and X is smooth, then we're done simply because the normal bundle splits; indeed, Basili and Peskine [2, p. 87] proved that then X is a complete intersection. However, in order to prove Theorem (3.2) in full generality, we must split the normal bundle with care. For example, consider the twisted cubic space curve X; its normal bundle is split because X is rational, and it is known that X is self-linked by a quadric cone and a cubic surface, but X is, of course, not a complete intersection, nor even subcanonical.

To split the normal bundle, we'll use the Gherardelli linkage theorem (2.5). It asserts that, when two hypersurfaces  $F_1$  and  $F_2$  of  $\mathbf{P}^n$  intersect partially in an X, then X is subcanonical if and only if its residual scheme Y is, scheme-theoretically, of the form  $Y = F_1 \cap F_2 \cap F_3$  where  $F_3$  is a suitable hypersurface. (Such a Y is called a *quasi-complete intersection*.) In particular, if X is subcanonical, and is self-linked by  $F_1$  and  $F_2$ , then  $X = F_1 \cap F_2 \cap F_3$ . In this case, we'll form the conormal bundles of X in  $F_3$  and in  $\mathbf{P}^n$ , and we'll split the natural map from the latter bundle onto the former.

We'll then conclude that some multiple of X is numerically equivalent to a hypersurface section of  $F_3$ , at least after we've replaced  $F_3$  by an integral component; we'll simply apply Braun's main theorem [4, p. 403]. (Braun followed the lines of Ellingsrud, Gruson, Peskine, and Strømme's remarkable proof of the theorem in the case of a curve on a smooth connected surface. This case had been treated earlier, in a very different fashion, by Griffiths, Harris, and Hulek. See Braun's paper [4, p. 411] for all the references.) Finally, to conclude that X is a complete intersection, Beorchia and Ellia used Gruson and Peskine's work on space curves. Instead, we'll make a direct geometric argument, and obtain our more refined statement of Theorem (3.2).

If  $n \geq 6$  and X is smooth, then, since X is a quasi-complete intersection, it is, in fact, a complete intersection by Faltings' Korollar of Satz 3 [7, p. 398]. This line of proof is significant because it is valid in any characteristic, whereas Basili and Peskine work in characteristic 0, and we must too although only to apply Braun's theorem. Beorchia and Ellia [3, p. 556] suggested that there might be a problem in characteristic 2 by pointing out the following result, due in part to Rao [15, p.272] and in part to Migliore [12, p. 185]: a double line in  $\mathbf{P}^3$  of arithmetic genus -2 or less is self-linked if and only if the characteristic is 2. We'll pursue this suggestion in Example (3.4). On the other hand, it would be nice to know whether Theorem (3.2) is valid except for certain X of small dimension in characteristic 2.

The Gherardelli linkage theorem holds in greater generality than that stated above. In Theorem (2.5), we'll replace  $\mathbf{P}^n$  by any Gorenstein projective scheme P having pure dimension 2 or more and satisfying this vanishing condition:  $H^q(\mathcal{O}_P(m)) = 0$  for three specific values of the pair (q, m). For example, P can be a complete intersection in  $\mathbf{P}^n$ . Thus we'll recover Theorem 2(i) of Fiorentini and Lascu [10, p. 170], where, in addition, X and Y are assumed to have no common components; in fact, our proof was inspired by theirs. Beorchia and Ellia [3, p. 556] proved the existence of the hypersurface  $F_3$  directly in the case at hand by using the mapping cone. Earlier, Rao [14, pp. 209–10] proved

(burying it among other things) a version of the Gherardelli linkage theorem, in which the condition that X be subcanonical is replaced by the condition that X be the zero scheme of a section of a rank-2 vector bundle on  $\mathbf{P}^n$ ; these two conditions are equivalent by a famous theorem of Serre's (see [8, Prop. 3, p. 346]). On the other hand, our Theorem (3.2) does not hold even if  $\mathbf{P}^n$  is replaced by a smooth hypersurface P, as we'll see in Example (3.3).

To prove the Gherardelli linkage theorem (2.5), we'll use the Noether linkage sequence (2.3.1), which presents the dualizing sheaf of a partial intersection in any Gorenstein ambient scheme P having pure dimension 2 or more. The case where P is a complete intersection in  $\mathbf{P}^n$  was treated in  $[\mathbf{10}, \text{Lem. 1}]$  and in  $[\mathbf{13}, 1.6]$  and was used in  $[\mathbf{15}, \text{p. 253}]$ . The general case is, as we'll see, no more difficult to prove.

In short, in Section 2, we'll review some basic linkage theory, including the Peskine–Szpiro linkage theorem (compare with [13, 1.3, p. 274] and [5, 21.23, p. 541]), the Noether linkage sequence, and the Gherardelli linkage theorem. This theory is all more or less well known, but has not always been developed exactly as here, and it is all essential for our work in Section 3. In Section 3, we'll prove our main theorem, our characterization of complete intersections of codimension 2 in  $\mathbf{P}^n$ . Finally, we'll discuss two examples; the first shows that the ambient projective space cannot be replaced even by a smooth hypersurface, and the second shows that our characterization fails in characteristic 2.

## 2. Gherardelli linkage

**Proposition (2.1)** (Peskine–Szpiro linkage theorem) Let Z be a Gorenstein scheme,  $X \subset Z$  be a proper closed subscheme, and Y the residual scheme of X. If X is Cohen–Macaulay of pure codimension 0, then so is Y; furthermore, then X is also the residual scheme of Y.

**Proof.** Let  $\mathcal{I}_{X/Z}$  and  $\mathcal{I}_{Y/Z}$  denote the ideals. Then we have

$$\mathcal{I}_{Y/Z} := Ann_{\mathcal{O}_Z} \mathcal{I}_{X/Z} \stackrel{\sim}{\longleftarrow} Hom_{\mathcal{O}_Z}(\mathcal{O}_X, \mathcal{O}_Z), \tag{2.1.1}$$

where the equation holds by definition and the isomorphism is given by evaluation at 1. It is a basic fact (see [5, 21.21, p. 538]) that, on the category of maximal (dimensional) Cohen–Macaulay  $\mathcal{O}_Z$ -modules  $\mathcal{M}$ , the functor,

$$\mathcal{D}(\mathcal{M}) := Hom_{\mathcal{O}_Z}(\mathcal{M}, \, \mathcal{O}_Z),$$

is dualizing. Now,  $\mathcal{D}$  interchanges the two basic exact sequences,

$$0 \to \mathcal{I}_{X/Z} \to \mathcal{O}_Z \to \mathcal{O}_X \to 0 \text{ and } 0 \to \mathcal{I}_{Y/Z} \to \mathcal{O}_Z \to \mathcal{O}_Y \to 0;$$

indeed,  $\mathcal{D}$  carries the first sequence to the second thanks to (2.1.1), and so, as  $\mathcal{D}$  is dualizing,  $\mathcal{D}$  carries the second sequence back to the first. Thus,  $\mathcal{O}_Y = \mathcal{D}(\mathcal{I}_{X/Z})$  and  $\mathcal{I}_{X/Z} = \mathcal{D}(\mathcal{O}_Y)$ . The latter equation implies that X is the residual scheme of Y. The former equation implies that  $\mathcal{O}_Y$  is maximal Cohen–Macaulay, because  $\mathcal{I}_{X/Z}$  is so since, at any  $x \in X$ ,

$$\operatorname{depth} \mathcal{I}_{X/Z,x} \geq \min \left( \operatorname{depth} \mathcal{O}_{Z,x}, 1 + \operatorname{depth} \mathcal{O}_{X,x} \right)$$

(see [5, 18.6b, p. 451]). The proof is now complete.

**Setup (2.2)** Let P be a complete scheme defined over an algebraically closed field of arbitrary characteristic. Assume that P is Gorenstein of pure dimension at least 2, and

equip P with an invertible sheaf  $\mathcal{O}_P(1)$ , which is not necessarily ample. For i = 1, 2 let  $f_i \in H^0(\mathcal{O}_P(m_i))$  be a section, and  $F_i : f_i = 0$  its scheme of zeros. Set

$$Z := F_1 \cap F_2$$
,

and assume that Z has pure codimension 2.

Let  $X \subset Z$  be a proper closed subscheme, and assume that X is Cohen–Macaulay of pure codimension 2 in P. Let  $Y \subset Z$  be the residual scheme of X. By the Peskine–Szpiro linkage theorem (2.1), also Y is Cohen–Macaulay of pure codimension 2 in P, and X is also the residual scheme of Y.

**Proposition (2.3)** (Noether linkage sequence) In the setup of (2.2), the dualizing sheaves and the ideals in P are related by the following short exact sequence:

$$0 \to \mathcal{I}_{Z/P} \otimes \omega_P(m_1 + m_2) \to \mathcal{I}_{Y/P} \otimes \omega_P(m_1 + m_2) \to \omega_X \to 0. \tag{2.3.1}$$

**Proof.** First, note the following two equations:

$$\omega_Z = \omega_P(m_1 + m_2)|_Z \text{ and } \omega_X = \mathcal{I}_{Y/Z} \otimes \omega_Z.$$
 (2.3.2)

The first equation is standard, and results from basic duality theory (see [1, Ch. 1] for example):

$$\omega_Z = Ext_P^2(\mathcal{O}_Z, \omega_P) = Hom_Z(\det(\mathcal{I}_{Z/P}/\mathcal{I}_{Z/P}^2), \omega_P|Z).$$

The second equation in (2.3.2) results from a series of three other equations:

$$\omega_X = Hom(\mathcal{O}_X, \omega_Z) = Hom(\mathcal{O}_X, \mathcal{O}_Z) \otimes \omega_Z = \mathcal{I}_{Y/Z} \otimes \omega_Z.$$

These hold by elementary duality theory, by the invertibility of  $\omega_Z$ , and by (2.1.1) above. Finally, the Noether linkage sequence (2.3.1) results from the basic sequence,

$$0 \to \mathcal{I}_{Z/P} \to \mathcal{I}_{Y/P} \to \mathcal{I}_{Y/Z} \to 0,$$

by tensoring it with  $\omega_P(m_1 + m_2)$  and then using the two equations in (2.3.2).

**Remark (2.4)** According to Enriques [6, vol. 3, p. 534], Noether obtained the preceding proposition in the special case where P is the projective 3-space, Noether having stated it virtually as follows:

If the curve X is the partial intersection of two surfaces  $F_1$  and  $F_2$  of degrees  $m_1$  and  $m_2$ , meeting further in a curve Y, then the surfaces of degree  $m_1 + m_2 - 4$  passing through Y cut on X the complete canonical series.

To derive this statement, take (2.3.1), replace  $\omega_P$  by  $\mathcal{O}_P(-4)$ , and extract cohomology, obtaining the following exact sequence:

$$H^0(\mathcal{I}_{Y/P}(m_1+m_2-4)) \to H^0(\omega_X) \to H^1(\mathcal{I}_{Z/P}(m_1+m_2-4)).$$

The third term vanishes as Z is a complete intersection, and Noether's statement follows.

**Theorem (2.5)** (Gherardelli linkage) Preserve the setup of (2.2). Let  $m_3 > 0$ . If an  $f_3 \in H^0(\mathcal{O}_P(m_3))$  exists such that  $Y = F_1 \cap F_2 \cap F_3$  where  $F_3 : f_3 = 0$ , then

$$\omega_X = \omega_P(m_1 + m_2 - m_3)|_X.$$

The converse holds if, in addition,

$$H^1(\mathcal{O}_P(m_3-m_1))=0,\ H^1(\mathcal{O}_P(m_3-m_2))=0,\ and\ H^2(\mathcal{O}_P(m_3-m_1-m_2))=0.$$

**Proof.** Assume an  $f_3$  exists. Then  $Y = Z \cap F_3$ . Hence, multiplication by  $f_3$  gives a surjection  $\mu: \mathcal{O}_Z(-m_3) \twoheadrightarrow \mathcal{I}_{Y/Z}$ . Its kernel  $Ann \mathcal{I}_{Y/Z}(-m_3)$  is equal to  $\mathcal{I}_{X/Z}(-m_3)$ 

because X is also the residual scheme of Y thanks to (2.1). So  $\mu$  induces an isomorphism  $\mathcal{O}_X(-m_3) \xrightarrow{\sim} \mathcal{I}_{Y/Z}$ . Hence  $\omega_X$  has the asserted form thanks to (2.3.2).

Conversely, assume  $\omega_X = \omega_P(m_1 + m_2 - m_3)|_X$ . Then twisting the Noether linkage sequence (2.3.1) yields the following exact sequence:

$$0 \to \mathcal{I}_{Z/P}(m_3) \to \mathcal{I}_{Y/P}(m_3) \to \mathcal{O}_X \to 0. \tag{2.4.1}$$

Extracting cohomology yields the next exact sequence:

$$H^0(\mathcal{I}_{Y/P}(m_3)) \to H^0(\mathcal{O}_X) \to H^1(\mathcal{I}_{Z/P}(m_3)).$$

Assume the additional vanishing conditions. Then  $H^1(\mathcal{I}_{Z/P}(m_3)) = 0$  thanks to the twisted Koszul resolution,

$$0 \to \mathcal{O}_P(m_3 - m_1 - m_2) \to \mathcal{O}_P(m_3 - m_1) \bigoplus \mathcal{O}_P(m_3 - m_2) \to \mathcal{I}_{Z/P}(m_3) \to 0.$$

Hence, we may lift  $1 \in H^0(\mathcal{O}_X)$  to an  $f_3 \in H^0(\mathcal{I}_{Y/P}(m_3))$ . Set  $F_3 : f_3 = 0$ .

In (2.4.1), we may replace  $\mathcal{O}_X$  by  $\mathcal{I}_{Y/Z}(m_3)$ . Hence  $\mathcal{I}_{Y/Z}(m_3)$  is generated by the image of  $f_3$  in  $H^0(\mathcal{I}_{Y/Z}(m_3))$ . Therefore,  $Y = Z \cap F_3$ , and the proof is complete.

## 3. Complete intersections

**Definition (3.1)** Let P be a Gorenstein scheme, X a closed Cohen–Macaulay subscheme. We'll say that X is *subcanonical* in P if P is equipped with an invertible sheaf  $\mathcal{O}_X(1)$ , and if, for some integer  $\alpha$ , we have

$$\omega_X = \omega_P(\alpha)|_X.$$

Assume P has pure dimension at least 3, and X has pure codimension 2. We'll say that X is *self-linked* in P by two effective Cartier divisors  $F_1$  and  $F_2$  if they meet properly in a subscheme Z containing X, and if X is equal to the residual scheme Y of X in Z.

**Theorem (3.2)** Let P be a projective space of dimension  $n \geq 3$  over an algebraically closed field of characteristic 0. Let  $X \subset P$  be a closed subscheme that is Cohen–Macaulay of pure codimension 2. Assume X subcanonical and self-linked. Then X is a complete intersection.

In fact, say X is self-linked by hypersurfaces  $F_1$  and  $F_2$  of degrees  $m_1$  and  $m_2$ . Then, after  $F_1$  and  $F_2$  are switched if need be,  $m_2$  is even, and there is a hypersurface  $F_3$  of degree  $m_2/2$  such that  $X = F_1 \cap F_3$  and  $Z = F_1 \cap 2F_3$  where  $Z := F_1 \cap F_2$ .

**Proof.** Since P is smooth and X is subcanonical, X is Gorenstein. Hence, since X has pure codimension 2, it is locally a complete intersection in P by one of Serre's results [5, 21.10, p. 537]. Hence, on X, the conormal sheaf  $\mathcal{I}_{X/P}/\mathcal{I}_{X/P}^2$  is locally free of rank 2.

By another celebrated theorem of Serre's,  $H^i(\mathcal{O}_P(j)) = 0$  for i = 1, 2 and for any j since  $n \geq 3$ . Hence, by the Gherardelli linkage theorem (2.5), there is a hypersurface  $F_3$  such that  $X = Z \cap F_3$ .

Let  $x \in X$ . For i = 1, 2, 3 let  $\varphi_i \in \mathcal{O}_{P,x}$  generate the ideal of  $F_i$ . Then  $\mathcal{I}_{X/P,x}$  is generated by  $\varphi_1$ ,  $\varphi_2$ , and  $\varphi_3$ , but not by  $\varphi_1$  and  $\varphi_2$ , since  $X = Z \cap F_3$ , but  $X \neq Z$ . Since  $\mathcal{I}_{X/P,x}$  is generated by two elements, it must be generated either by  $\varphi_1$  and  $\varphi_3$  or by  $\varphi_2$  and  $\varphi_3$ . Hence X is a Cartier divisor on  $F_3$ .

For i = 1, 2 set  $Z_i := F_i \cap F_3$ . Let  $x \in X$ . Then, by the preceding paragraph,  $\mathcal{I}_{X/P, x}$  is equal either to  $\mathcal{I}_{Z_1/P, x}$  or to  $\mathcal{I}_{Z_2/P, x}$ . Put geometrically, X is equal, in a neighborhood of x in P, either to  $Z_1$  or to  $Z_2$ .

For i = 1, 2, 3 say  $F_i : f_i = 0$ . For i = 1, 2 form the greatest common divisor  $g_i$  of  $f_i$  and  $f_3$ , and set  $G_i : g_i = 0$ .

First, suppose both  $G_1$  and  $G_2$  are nonempty, and let x be a common point. Since  $G_1$  is a component of both  $F_1$  and  $F_3$ , their intersection  $Z_1$  is not equal to X in a neighborhood of x. Similarly,  $Z_2$  is not equal to X in a neighborhood of x. This conclusion stands in contradiction to our conclusion above, that X is equal, in a neighborhood of x in P, either to  $Z_1$  or to  $Z_2$ . Therefore, not both  $G_1$  and  $G_2$  are nonempty; say  $G_2$  is empty.

Then  $Z_2$  has pure codimension 2 in P, and  $Z_2 \supseteq X$ . If  $Z_2 = X$ , then  $X = F_2 \cap F_3$ . So suppose not, and we'll prove that  $X = F_1 \cap F_3$ . Form the residual scheme  $X_2$  of X in  $Z_2$ . By general principles,  $X_2$  is a Cartier divisor on  $F_3$  because X and  $Z_2$  are so; moreover,  $Z_2 = X + X_2$ .

Suppose  $G_1$  is nonempty. Set  $C := G_1 \cap F_2$ . Then C is a hypersurface section of  $F_2$ . So C has a point x in common with  $X_2$ , which also lies on  $F_2$ . Then  $x \in X$ , because  $C \subset Z$  and Z has the same support as X. Since  $G_1$  is a component of both  $F_1$  and  $F_3$ , their intersection  $Z_1$  is not equal to X in a neighborhood of x. Since x lies on both  $X_2$  and X, also  $Z_2$  is not equal to X in a neighborhood of x. As before, there is a contradiction. Therefore,  $G_1$  is empty.

Then  $Z_1$  has pure codimension 2 in P, and  $Z_1 \supseteq X$ . If  $Z_1 = X$ , then  $X = F_1 \cap F_3$  as claimed. So suppose not, and form the residual scheme  $X_1$  of X in  $Z_1$ . By general principles,  $X_1$  too is a Cartier divisor on  $F_3$ . After a bit of work, we'll achieve a contradiction.

First, we'll construct a natural splitting of the natural surjection,

$$\mathcal{I}_{X/P}/\mathcal{I}_{X/P}^2 \to \mathcal{I}_{X/F_3}/\mathcal{I}_{X/F_3}^2.$$
 (3.2.1)

To do so, in  $\mathcal{I}_{X/P}/\mathcal{I}_{X/P}^2$ , form the image  $\mathcal{L}$  of  $\mathcal{I}_{Z/P}$ ; we are going to show that  $\mathcal{L}$  maps isomorphically onto  $\mathcal{I}_{X/F_3}/\mathcal{I}_{X/F_3}^2$ . Since  $\mathcal{L}$  maps surjectively, and since  $\mathcal{I}_{X/F_3}/\mathcal{I}_{X/F_3}^2$  is invertible as X is a Cartier divisor on  $F_3$ , we need only show that  $\mathcal{L}$  is invertible.

Let  $x \in X$ . Say, as above, that  $\mathcal{I}_{X/P, x} = \mathcal{I}_{Z_1/P, x}$ . Set  $W := F_1 \cap 2F_3$ . Then  $W \supseteq Z$ ; indeed,  $\mathcal{I}^2_{F_3/P} \subset \mathcal{I}_{Z/P}$  because  $\mathcal{I}_{X/Z} = Ann \mathcal{I}_{X/Z}$  since X is self-linked. Since also  $W \supseteq Z_1$ , there is a natural commutative diagram,

$$0 \longrightarrow \mathcal{I}_{Z_1/W} \longrightarrow \mathcal{O}_W \longrightarrow \mathcal{O}_{Z_1} \longrightarrow 0$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$0 \longrightarrow \mathcal{I}_{X/Z} \longrightarrow \mathcal{O}_Z \longrightarrow \mathcal{O}_X \longrightarrow 0$$

Clearly,  $\mathcal{I}_{Z_1/W} = \mathcal{O}_P(-F_3)|_{Z_1}$ . Moreover,  $\mathcal{I}_{X/Z} = \omega_X \otimes \omega_P(m_1 + m_2)^{-1}$  thanks to (2.3.2) with Y := X. Thus the source of u is invertible on  $Z_1$ , and the target is invertible on X. Now,  $\mathcal{I}_{X/P,\,x} = \mathcal{I}_{Z_1/P,\,x}$ . Hence, w is an isomorphism at x; in other words, X and Z are the same scheme in a neighborhood of x. Also, u is surjective at x, and its source and target are invertible sheaves on the same scheme in a neighborhood of x; hence, u is an isomorphism at x. So v is an isomorphism at x. Hence,  $\mathcal{I}_{W/P,\,x} = \mathcal{I}_{Z/P,\,x}$ .

So, in  $\mathcal{I}_{X/P}/\mathcal{I}_{X/P}^2$ , the images of  $\mathcal{I}_{W/P}$  and  $\mathcal{I}_{Z/P}$  are equal at x. The image of  $\mathcal{I}_{W/P}$  is equal to  $\mathcal{O}_P(-F_1)|_X$  at x; indeed, the latter sheaf maps naturally into the former, and this map is surjective since  $X \subset F_3$ , and injective at x since its natural image is a direct summand of  $\mathcal{I}_{X/P}/\mathcal{I}_{X/P}^2$  at x, as  $\mathcal{I}_{X/P, x} = \mathcal{I}_{Z_1/P, x}$ . The image of  $\mathcal{I}_{Z/P}$  is  $\mathcal{L}$  by definition. Thus  $\mathcal{L}$  is invertible at x. Since  $x \in X$  is arbitrary,  $\mathcal{L}$  is invertible. Thus  $\mathcal{L} \xrightarrow{\sim} \mathcal{I}_{X/F_3}/\mathcal{I}_{X/F_3}^2$ , and (3.2.1) splits.

Let F be any irreducible component of  $F_3$ , and equip F with its reduced structure. Since F is a hypersurface, F meets X. Set  $V := X \cap F$ . Then V is a Cartier divisor on F, and hence V is locally a complete intersection in P. Consider the natural commutative diagram of sheaves on V,

$$\mathcal{L}|V \longrightarrow \left(\mathcal{I}_{X/F_3}/\mathcal{I}_{X/F_3}^2\right)|V$$

$$\downarrow \qquad \qquad \downarrow$$

$$\mathcal{I}_{V/P}/\mathcal{I}_{V/P}^2 \longrightarrow \mathcal{I}_{V/F}/\mathcal{I}_{V/F}^2$$

The top horizontal map is an isomorphism because it is the restriction of an isomorphism. The right vertical map is an isomorphism because it is surjective and its source and target are invertible. Therefore, the lower horizontal map splits.

Since the lower map splits, since V is a Cartier divisor on F and is locally a complete intersection in P, since F is reduced, irreducible, and closed, and since P is a projective space of dimension  $n \geq 3$  over an algebraically closed field of characteristic 0, Braun's main theorem [4, p. 26] implies that some multiple of V is numerically equivalent to a hypersurface section of F.

Since F is a hypersurface, F meets both  $X_1$  and  $X_2$ , which are supposedly nonempty. For i=1, 2 set  $V_i:=X_i\cap F$ . Then  $V_i$  is a Cartier divisor on F, and  $V+V_i=F_i\cap F$ . Hence, some multiple of  $V_i$  too is numerically equivalent to a hypersurface section of F. Therefore,  $V_1$  and  $V_2$  have a common point x. Then x lies on both  $Z_1$  and  $Z_2$ , so on their intersection, which is X. However, there is no neighborhood of x in which either  $Z_1$  or  $Z_2$  is equal to X because x lies on both  $X_1$  and  $X_2$ . Thus, we've achieved the desired contradiction. Therefore,  $X = F_1 \cap F_3$ .

Then W=Z everywhere, by the reasoning above; in other words,  $Z=F_1 \cap 2F_3$ . Finally, set  $m_3:=\deg F_3$ . Then  $\deg Z=2m_1m_3$ . Now,  $Z:=F_1 \cap F_2$ , so  $\deg Z=m_1m_2$ . Hence  $2m_3=m_2$ . The proof is now complete.

**Example (3.3)** Most of the proof of Theorem (3.2) works without change in the relative case where P is a smooth projectively Cohen–Macaulay variety of pure dimension at least 3. However, to apply Braun's theorem, we must know that the surjection (3.2.1) splits when P is replaced by the ambient projective space; the proof shows that (3.2.1) itself splits, but this splitting is insufficient. The theorem does not hold even when P is replaced by a smooth hypersurface, as the following example shows.

Let P be a smooth quadric hypersurface in  $\mathbf{P}^4$ . Let  $F_1$  be the section of P by a hyperplane  $H_1$  that is tangent to P at a point x. Then  $F_1$  is a cone in  $H_1$  with vertex at x and with base a smooth (plane) conic C. Fix  $y \in C$ . Then y determines a generator X of the cone  $F_1$ . Let  $H_2$  be a hyperplane in  $\mathbf{P}^4$  that cuts  $H_1$  in the plane spanned by x and by the tangent line to C at y. Then X is a line, so subcanonical in P. Moreover, X is self-linked in P by  $F_1$  and  $F_2$  with  $F_2 := H_2 \cap P$ . However, X is not the complete intersection of two hypersurface sections of P since any such complete intersection has even degree in  $\mathbf{P}^4$ .

**Example (3.4)** Theorem (3.2) is not valid in positive characteristic without some further restriction on X. Indeed, we are going to see that, in characteristic 2, there exists an example of an irreducible, but nonreduced, Cohen-Macaulay space curve X, which is subcanonical and self-linked, yet is not a complete intersection.

Ferrand [8, p. 345] explained how to put a subcanonical double structure on a line (indeed, on any complete curve that is locally a complete intersection) in  $\mathbf{P}^3$  in any

characteristic; moreover, the double curve can have arbitrarily negative arithmetic genus. Now, Migliore [12, p. 185] proved that, in characteristic 2, a double line X is self-linked if its arithmetic genus is -2 or less. Such an X is not a complete intersection, because every complete intersection Z has nonnegative arithmetic genus by (2.3.2).

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